

# A systematic, large-scale resequencing screen of X-chromosome coding exons in mental retardation

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Large-scale systematic resequencing has been proposed as the key future strategy for the discovery of rare, disease-causing sequence variants across the spectrum of human complex disease. We have sequenced the coding exons of the X chromosome in 208 families with X-linked mental retardation (XLMR), the largest direct screen for constitutional disease-causing mutations thus far reported. The screen has discovered nine genes implicated in XLMR, including *SYP*, *ZNF711* and *CASK* reported here, confirming the power of this strategy. The study has, however, also highlighted issues confronting whole-genome sequencing screens, including the observation that loss of function of 1% or more of X-chromosome genes is compatible with apparently normal existence.

Mental retardation is defined as a disability characterized by "significant limitations both in intellectual functioning and in adaptive behaviour as expressed in conceptual, social and practical adaptive skills" with onset before the age of 18 years<sup>1,2</sup>. Mental retardation is one of the main reasons for referral to clinical pediatric, neurological and genetics services and is responsible for 5–10% of health care expenditure in some developed countries<sup>3–6</sup>.

Mental retardation may be caused by constitutional genetic abnormalities<sup>7,8</sup>. A significant proportion of these are large deletions,

duplications or aneuploidies that affect multiple genes<sup>9–11</sup>. Mental retardation may also be due to mutations of individual genes and is a feature of autosomal dominant, autosomal recessive and X-linked genetic diseases (**Supplementary Table 1** online). The most common cause of X-linked mental retardation (XLMR) is expansion of a trinucleotide repeat in the 5' untranslated region of the *FMR1* gene in fragile-X syndrome. Approximately 80 additional genes involved in XLMR have now been identified through genetic linkage analysis and positional cloning, candidate gene analysis or cytogenetic studies<sup>12,13</sup>.

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Each of these accounts for only a small number of families with XLMR and, despite this success, the mutated genes responsible for XLMR in most affected families have not been identified<sup>14</sup>. Thus, mental retardation generally and XLMR in particular are notable models of genetic heterogeneity in common disease susceptibility.

The identification of further genes involved in XLMR using conventional approaches has become problematic. For many XLMR-associated genes, mental retardation is the only clinical feature or is associated with relatively nonspecific and inconsistent accessory phenotypic characteristics, a clinical picture termed nonsyndromic XLMR. This similarity of phenotype precludes pooling of linkage information from different families. Moreover, mental retardation is common and phenocopies within XLMR families can further compromise mapping of the underlying genes. Although candidate gene studies have occasionally proved fruitful, genes involved in XLMR encode proteins with diverse or unknown biological roles. Mental retardation therefore exemplifies the problems associated with identification of rare disease-causing variants in many complex diseases.

The X chromosome is 155 Mb long and comprises approximately 5% of a haploid human genome<sup>15</sup>. There are short pseudoautosomal regions at both telomeric ends, which recombine with cognate regions on the Y chromosome. The annotated X-chromosome sequence permits an alternative strategy for the identification of genes involved in XLMR. Systematic sequencing of all coding exons in individuals with XLMR will provide a catalog of X-chromosome coding sequence variants in each case. In some individuals one of these variants will be causative of mental retardation, with the remainder being background genetic variation. This approach is empowered by the characteristic pattern of transmission that allows preselection of families with mental retardation likely due to an abnormality on the X chromosome. Furthermore, it does not depend on genetic linkage information or patterns of accessory phenotypic features and requires a sample from only a single individual in each family. In this study we have implemented this strategy by sequencing most coding exons of X-chromosome genes in families with clinical features compatible with XLMR.

## RESULTS

### Sequence variants in families with XLMR

Genomic DNA from a male proband, or in five instances a female obligate carrier, from 208 families with multiple individuals with mental retardation and a pattern of transmission compatible with X linkage (see **Table 1** for clinical summary) was sequenced through the coding exons of 718 X-chromosome genes (**Supplementary Table 1**). This set was composed of 699 out of 829 genes from the Vega database and 19 X-chromosome genes not included in Vega but present in Ensembl/NCBI (**Supplementary Table 1**). The average coverage of the 718 genes screened was 75%; therefore, the coverage of the full protein-coding sequences of the Vega X chromosome was 65%. Sixteen of the genes screened are in the pseudoautosomal regions common to the X and Y chromosomes and 702 are in the X-specific part. The screened DNA corresponds to ~1 Mb of coding sequence per sample and >200 Mb in total. The 208 families were prescreened and found negative for cytogenetic abnormalities at 500G banding resolution, for expansion of the *FMRI* trinucleotide repeat and for unambiguous disease-causing sequence variants in the XLMR-causing genes published when the study was initiated (**Supplementary Table 1**).

We detected 1,858 different coding sequence variants, 1,769 from the X-specific and 89 from the pseudoautosomal X-chromosome regions (**Table 2**). We found that 1,814 were single-nucleotide changes: of these, 980 caused missense amino-acid substitutions,

**Table 1 Summary of the clinical features of the mental retardation probands studied**

	No. families (n = 208)
<b>No. affected males</b>	
2 (sib pair)	45 (21.6%)
2 (other)	14 (6.7%)
3	52 (25.0%)
>3	97 (46.6%)
<b>Ancestry</b>	
Asian	3 (1.4%)
Black African	1 (0.5%)
European	197 (94.7%)
European/Aboriginal	1 (0.5%)
European/Asian	1 (0.5%)
No data	5 (2.4%)
<b>Severity of mental retardation</b>	
Severe (IQ 20–34)	55 (26.4%)
Moderate (IQ 35–49)	96 (46.2%)
Mild (IQ 50–69)	51 (24.5%)
No data	6 (2.9%)
<b>Head circumference</b>	
Macrocephaly	24 (11.5%)
Microcephaly	25 (12.0%)
Normal	147 (70.7%)
No data	12 (5.8%)
<b>Epilepsy</b>	
Yes	46 (22.1%)
No	148 (71.2%)
No data	14 (6.7%)
<b>Speech and language</b>	
Absent	26 (12.5%)
Delayed	177 (85.1%)
Normal	2 (1.0%)
No data	3 (1.4%)
<b>Dysmorphic features</b>	
Yes	66 (31.7%)
No	140 (67.3%)
No data	2 (1.0%)
<b>Neurological features</b>	
Yes	47 (22.6%)
No	157 (75.5%)
No data	4 (1.9%)

The slash indicates mixed ancestry.

22 caused nonsense (termination) codons, 13 were abnormalities at highly conserved bases at splice acceptor and donor sites and 799 were synonymous (silent) changes. Three variants were missense double-nucleotide substitutions, and 41 variants were small insertions and deletions, of which 26 were in-frame and 15 caused translational frameshifts.

The dataset allows direct characterization of the pattern of haplotypic coding sequence variation of individual X chromosomes. Although ascertained from individuals with XLMR, only a small fraction of the observed variants is likely to cause mental retardation and, therefore, the set predominantly represents background population variation. Of the 1,769 coding sequence variants from the X-specific part of the X chromosome, 914 were nonrecurrent (that is, observed in only one XLMR-affected family) and 855 were recurrent (observed in multiple XLMR-affected families, **Table 2**). We identified 63% of the recurrent and 16% of the nonrecurrent variants in the dbSNP database. The sequences of any two individuals

**Table 2 Summary of all variants**

	X specific			Pseudoautosomal			Grand total
	Nonrecurrent	Recurrent	Total	Nonrecurrent	Recurrent	Total	
Nonsense substitutions	18	2	20	1	1	2	22
Missense substitutions	531	409	940	22	21	43	983
Silent substitutions	328	428	756	21	22	43	799
In-frame ins/dels	12	14	26				26
Out-of-frame ins/dels	13	1	14		1	1	15
Splice	12	1	13				13
Total	914	855	1,769	44	45	89	1,858

Read-through variants have been included with frameshifting insertions/deletions.

differed on average by 109 variants. Of these, six were nonrecurrent, including four missense and two synonymous variants, and 103 were recurrent, including 40 missense, 60 synonymous and two in-frame insertions/deletions. The results illustrate that most coding sequence differences between individuals are recurrent ('common') variants despite the existence of a larger number of different nonrecurrent ('rare') variants.

### Sequence variants that truncate proteins

A subset of the sequence variants is predicted to introduce a premature termination codon and hence truncate the wild-type protein sequence. Truncating variants are usually highly deleterious to protein function: they constitute a substantial proportion of monogenic (mendelian) disease-causing mutations but a relatively small proportion of polymorphisms. Therefore, as the first analytic step to identify new genes involved in mental retardation, we considered the set of truncating variants detected in the screen.

We observed 42 different truncating variants in 30 genes (Table 3); 40 were in 28 genes from the X-specific region of the X chromosome and 2 were in 2 genes from the pseudoautosomal region. In addition, we found four 'read-through' variants that cause a translational frameshift close to the wild-type termination codon and extend the open reading frame into previously untranslated 3' DNA (described further in Supplementary Note online).

Three truncating variants were recurrent (*UBE2NL*: 266T>G, L89\*; *MAGEE2*: 358G>T, E120\* and *GTPBP6*: 118C>T, Q40\*) (Table 3). These were found in controls at a similar prevalence to XLMR-affected families and so are unlikely to be responsible for mental retardation in the families in which they were identified. They each, however, are predicted to cause substantial truncation of the encoded proteins. Therefore, loss of some, or all, functions of *UBE2NL*, *MAGEE2* and *GTPBP6* seems compatible with normal development and intellectual function.

Thirty-eight truncating variants observed in the 702 genes from the X-specific part of the X chromosome were each found in only a single XLMR-affected family (that is, they were nonrecurrent variants). One gene (*CUL4B*) had five different nonrecurrent truncating variants, two genes (*APIS2* and *UPF3B*) had three, four genes (*BRWD3*, *ZDHHC9*, *ITIH5L*, *SLC9A6*) had two, and 19 genes had a single nonrecurrent truncating variant (Table 3 and Supplementary Fig. 1 online). Simulating a random distribution of truncating variants through the 702 genes and comparing it to the distribution observed provided strong evidence for clustering of these nonrecurrent truncating variants ( $P < 0.001$ ) in a subset of genes. The clustering is consistent with this subset of genes being involved in XLMR, but other explanations cannot be excluded at this stage of analysis.

To evaluate further the genes with multiple truncating variants, we examined segregation of the variants in the families in which they were observed. Some of these results have been previously published<sup>16–21</sup>. In brief, truncating variants in *APIS2*, *CUL4B*, *BRWD3*, *UPF3B*, *ZDHHC9* and *SLC9A6* segregated completely with mental retardation in the families in which they were identified; that is, each truncating variant was present in all genotyped subjects with mental retardation and absent in unaffected males (Supplementary Fig. 1). We sequenced all the coding exons of these six genes in control X chromo-

somes and did not find the truncating variants detected in XLMR-affected subjects or any other truncating variants (Table 3). The clustering of multiple different truncating variants in these genes, the evidence for segregation with mental retardation and the absence of truncating variants in controls indicate strongly that *APIS2*, *CUL4B*, *BRWD3*, *UPF3B*, *ZDHHC9* and *SLC9A6* are XLMR genes. Five missense or in-frame variants in *CUL4B*, *ZDHHC9* and *SLC9A6* also showed evidence of involvement in mental retardation<sup>17,19,21</sup>. Mental retardation-causing variants in *APIS2*, *CUL4B*, *BRWD3*, *UPF3B*, *ZDHHC9* and *SLC9A6* together account for the disease in 22 (10.6%) families out of the 208 screened.

By contrast, neither of the two truncating variants in *ITIH5L* segregated completely with mental retardation (Table 3 and Supplementary Fig. 1). We analyzed the complete coding sequence of *ITIH5L* in controls and found one of the truncating variants previously observed in a subject with mental retardation. The lack of segregation with mental retardation, the presence of a truncating variant in normal controls and the recent finding of a likely mental retardation-causing *IL1RAPL1* deletion in one family with an *ITIH5L* truncating variant (unpublished data, Table 3) suggests that truncating variants in *ITIH5L* are not the cause of mental retardation in the families in which they were identified. Nevertheless, the strong evidence overall for the role in mental retardation of genes with more than one nonrecurrent truncating variant is reflected in the heterogeneity lod score of 18.3, with an estimated 92% families in this subset due to the truncating variant.

A single nonrecurrent truncating variant was found in 19 genes from the X-specific part of the X chromosome. Analysis of segregation in each family revealed that the truncating variant in nine genes (*ATXN3L*, *DRP2*, *MAP3K15*, *MAP7D3*, *RPL9P7*, *SATL1*, *SSX6*, *SYTL5* and *ZCCHC13*) did not segregate with mental retardation (Table 3 and Supplementary Fig. 1). In nine of the remaining ten genes there was full segregation with the disease and in one, *VSIG4*, additional DNA samples were unavailable for testing. A heterogeneity lod score of 2.4 was obtained for the truncating variants in these 19 families, with mental retardation in 43% attributable to the truncating variant. Sequencing of the complete coding sequences of the 19 genes in male controls revealed one or more truncating variants in *ATXN3L*, *BEX4*, *MAP3K15* and *P2RY4* (Table 3). Furthermore, likely MR-causing abnormalities in *MECP2*, *SLC9A6* and *IL1RAPL1* have recently been found in affected individuals with single non-recurrent truncating variants in *FAM47B*, *SATL1* and *SAGE1*, respectively (Table 3). Taken together, the results from 6 of the 19 genes with a single nonrecurrent truncating variant remain compatible with involvement in the causation of mental retardation (*SYP*, *ZNF711*, *ARSF*, *ZNF183*, *VSIG4*, and *USP9X*), whereas 13 others have one or more inconsistencies. To evaluate these six genes further, we sequenced their complete coding

Table 3 Truncating and read-through variants identified in the screen

	Region of X chrom	Gene	Family number	LOD	Truncating variants in cases	Stop position	Protein size (aa)	Truncating variants in controls	Frequency in controls	Mutations in known MR genes	Abnormal transcript identified	Ref.
<b>Truncating</b>												
Recurrent	X specific	<i>MAGEE2</i>	–	–	358G>T, E120*	120	524	358G>T, E120*	4/244			
	X specific	<i>UBE2NL</i>	–	–	266T>G, L89*	89	154	266T>G, L89*	100/283			
	PAR	<i>GTPBP6</i>	–	–	118C>T, Q40*	40	287	118C>T, Q40*	117/243			
Nonrecurrent (>1 variant/gene)	X specific	<i>AP1S2</i>	445	3.85	106C>T, Q36*	36	157		0/726			16
			502	2.36	154C>T, R52*	52	157		0/726			16
			63	2.2	IVS 3–2 delTACA	64	157		0/726			16
	X specific	<i>BRWD3</i>	322	0.03	IVS 29 +1 G>T	1,093	1,802		0/520		Yes	20
			336	0.26	946_947insA, R316fs*22	337	1,802		0/520			20
	X specific	<i>CUL4B</i>	42	0.58	1007_1011delTTATA, I336fs*2	338	913		0/637			17
			307	0.88	1162C>T, R388*	388	913		0/637			17
			43	2.93	2566C>T, R856*	856	913		0/637			17
			329	0.59	IVS 7–2 A>G	308	913		0/637		Yes	17
			363	0.42	2493G>A, T831T <sup>a</sup>	806	913		0/637		Yes	17
	X specific	<i>ITIH5L</i>	32	–1.38	670C>T, R224*	224	1,313		0/489	<i>IL1RAPL1</i>		
			74	–0.63	IVS 7 +1 ins T	1,313		IVS 7 +1 ins T	1/528			
	X specific	<i>SLC9A6</i>	197	1.79	1402C>T, R468*	468	669		0/282			21
			227	0.87	511_512delAT	230	669		0/282			21
	X specific	<i>UPF3B</i>	407	–0.55	674_677delGAAA, R225fs*22	275	483		0/730			18
			309	0.56	867_868delAG, G290fs*2	292	483		0/730			18
			62	2.66	1288C>T, R430*	430	483		0/730			18
	X specific	<i>ZDHHC9</i>	152	0.23	175_176insCGCT, Y59fs*33	92	364		0/445			19
			602	0.49	IVS 3 +5 G>C	43	364		0/445		Yes	19
Nonrecurrent (1 variant/gene)	X specific	<i>ARSF</i>	263	0.26	IVS 8 +5 G>A		591		0/217			
	X specific	<i>ATXN3L</i>	324	–1.47	76G>T, 26*	26	355	76G>T, E26*	1/336			
	X specific	<i>BEX4</i>	232	1.03	IVS 1 +1 G>A		447	IVS 1 +1 G>A	2/530			
	X specific	<i>DRP2</i>	306	–3.76	IVS 10 +1 G>C	372	957		0/247		Yes	
	X specific	<i>FAM47B</i>	241	0.66	331C>T, Q111*	111	645		0/233	<i>MECP2</i>		
	X specific	<i>MAP3K15</i>	430	–1.11	1069C>T, R357*	357	788	1831C>T, R611*	2/272			
								1789C>T, R597*	1/243			
	X specific	<i>MAP7D3</i>	141	–1.05	IVS 9 +1 G>C	567	877		0/460		Yes	
	X specific	<i>P2RY4</i>	400	0.26	1043G>A, W348*	348	366	303G>A, W101*	3/249			
	X specific	<i>RPL9P7</i>	116	–0.71	75delT, I26fs*2	27	192		0/266			
	X specific	<i>SAGE1</i>	32	0.88	621T>A, C207*	207	904		0/273	<i>IL1RAPL1</i>		
	X specific	<i>SATL1</i>	197	–6.46	1325G>A, W442*	442	632		0/284	<i>SLC9A6</i>		
	X specific	<i>SSX6</i>	81	–0.03	111G>A, W37*	37	188		0/274			
	X specific	<i>SYP</i>	346	0.49	274_275insA, T92fs*45	137	313		0/272			
	X specific	<i>SYTL5</i>	438	–0.51	1633G>T, G545*	545	730		0/464			
	X specific	<i>USP9X</i>	383	1.18	7505delA, Q2502fs*18	2,520	2,547		0/282			
	X specific	<i>VSIG4</i>	468	0.00	973A>T, R325*	325	399		0/524			
	X specific	<i>ZCCHC13</i>	267	–1.37	45G>A, W15*	15	168		0/263			
	X specific	<i>ZNF183</i>	581	1.20	901C>T, Q301*	301	343		0/252			
	X specific	<i>ZNF711</i>	208	1.31	2157_2158delTG, 719fs*1	720	771		0/252			
	PAR	<i>IL9R</i>	432	–	58C>T, R20*	20	522		0/248			
<b>Read-through</b>												
Recurrent	PAR	<i>ASMTL</i>	–	–	1864delT, *622fs*8	630	622	1864delT, *622fs*8	71/223			
	X specific	<i>H2BFWT</i>	–	–	510delA, Q171fs*30 <sup>b</sup>	201	175		0/260			
Nonrecurrent	X specific	<i>NSDHL</i>	577	1.06	1098_1099insT, R367fs*31	398	374		0/224			
	X specific	<i>CXorf12</i>	340	0.26	782delG, R261fs*11	272	262		0/293	<i>MECP2</i>		

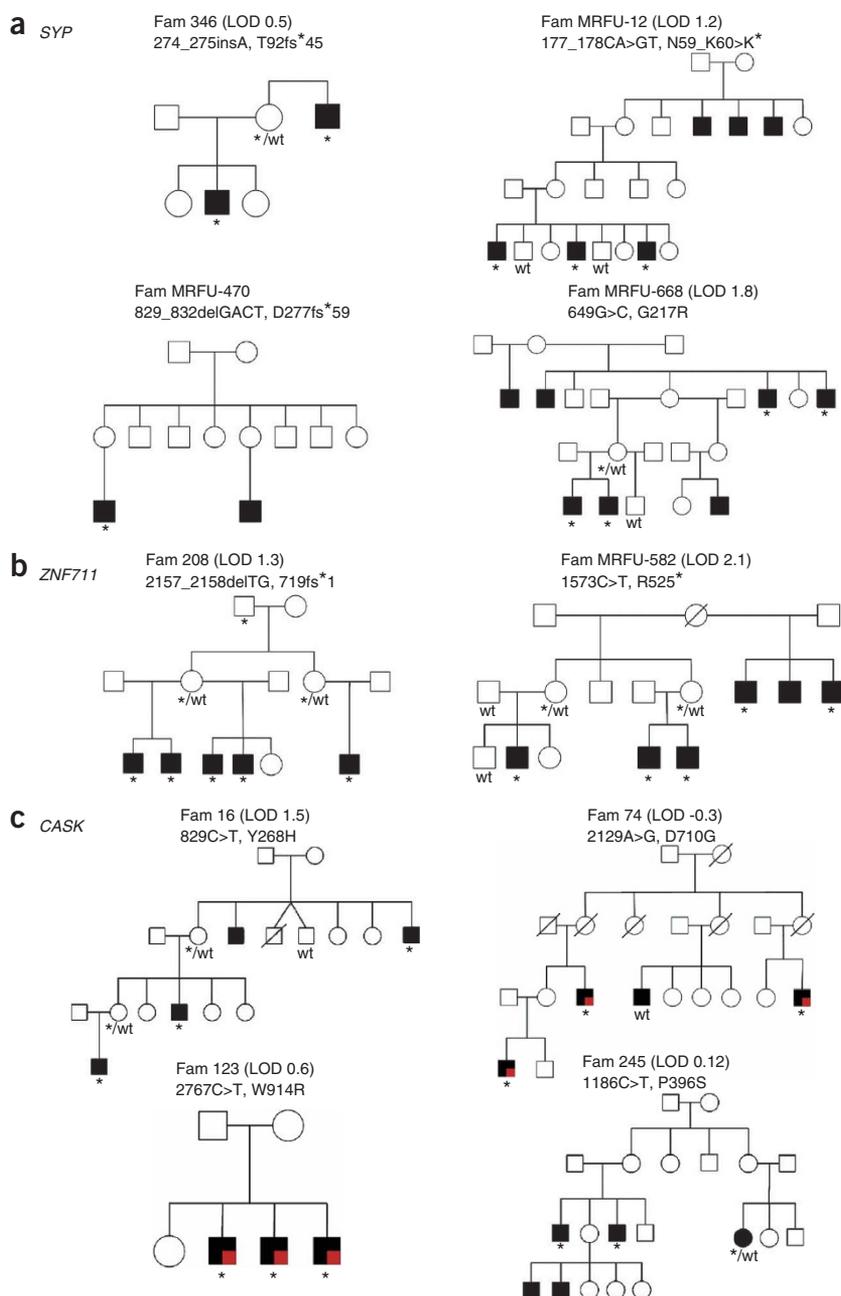
<sup>a</sup>This variant introduces a cryptic splice site that causes a truncation. <sup>b</sup>This variant was seen in only two families and generated a lod score of 0.83 in each.

sequences in a further 914 male index subjects from XLMR-affected families and 1,129 male controls (**Supplementary Table 2** online).

In *SYP* (also known as synaptophysin or p38) an additional nonsense variant was found in one of the 914 additional XLMR-affected subjects and an additional 4-bp deletion was identified in a second XLMR-affected subject (**Fig. 1a** and **Table 4**). The nonsense mutation showed evidence of segregation with mental retardation (lod score 1.2). Samples were not available for evaluation of the 4-bp deletion. No *SYP* truncating variants were found in the additional 1,129 controls. Together with the data from the primary screen, three *SYP* truncating variants were found in 1,122 XLMR-affected subjects examined, two of which have been examined and segregate with the disease (combined lod score 1.7), and there were no truncating variants in 1,401 controls. A missense variant found in a single subject

with mental retardation at an amino acid residue that is highly conserved and which segregated with mental retardation (lod score 1.8) is also likely to be implicated in disease causation (**Table 4**). These data implicate *SYP* in XLMR. In the three families with truncating variants, mental retardation was mild to moderate and there were no consistent additional features, although epilepsy was noted in some individuals. *SYP* encodes an integral membrane protein of small synaptic vesicles.

In *ZNF711*, an additional truncating variant was found in one subject (**Fig. 1b** and **Table 4**) and showed strong evidence of segregation with mental retardation (lod score 2.1). No *ZNF711* variants were found in controls. Together with the results from the primary screen, two truncating variants were found in 1,122 XLMR-affected individuals, both of which segregate with the disease (combined



**Figure 1** Pedigrees of families with likely deleterious variants in the *SYP*, *ZNF711* and *CASK* genes. Shaded symbols indicate individuals with mental retardation and open symbols indicate individuals who are unaffected. Symbols containing a red square indicate individuals with both mental retardation and nystagmus. An asterisk indicates the presence of the variant allele and 'wt' indicates the presence of the wild-type allele. Lod scores are shown in parentheses. (a) *SYP*. In family MRFU-470, additional individuals were unavailable to genotype. (b) *ZNF711*. In family 208 the maternal grandfather of the proband (I.1) is thought likely to have mild mental retardation, though this individual was unavailable to enable a detailed clinical assessment. (c) *CASK*. In family 74, individual III.4 was considered to have milder mental retardation compared to the other affected males and did not present with nystagmus. A lod score of  $-0.3$  was generated when individual III.4 was considered as affected with mental retardation, and a lod score of 1.1 was obtained when his disease status was considered unknown.

retardation. No further *USP9X* truncating variants were found in cases or controls; consequently, the role of *USP9X* in XLMR remains uncertain.

#### Nonsynonymous and synonymous variants

We identified 983 different single-base substitution missense variants (Table 2 and Supplementary Table 3 online). The 26 in-frame deletions/insertions found are listed in Supplementary Table 4 online and described further in the Supplementary Note. As appears to be the case for truncating variants, missense variants may include a subset that causes mental retardation, with the remainder representing background population variation. However, the prevalence of missense variants in normal individuals is much higher than that of truncating variants, and the disruption of protein function they entail is generally more modest. Therefore, disease-causing missense variants are likely to represent a relatively small fraction of the total and

lod score 3.4), and no truncating variants were found in  $>1,200$  controls. These results indicate that *ZNF711* is also an XLMR-associated gene. The two families with truncating *ZNF711* mutations had moderate mental retardation without consistent additional distinctive features. *ZNF711* encodes a zinc-finger protein of unknown function.

In *ARSF* three additional truncating variants were found among the 914 subjects with XLMR; only one could be evaluated and did not segregate with mental retardation. At least one additional truncating variant was found in each of *ARSF*, *VSIG4* and *ZNF183* in controls. In total, we found four truncating variants in *ARSF* in 1,122 XLMR-affected subjects and five in 1,346 controls. In both *VSIG4* and *ZNF183* one truncating variant was found in 1,122 cases and one in 1,653 and 1,391 controls, respectively. The results therefore suggest that none of these three genes is likely to be involved in mental

distinguishing them from rare polymorphisms is problematic. We applied two analytic approaches to identify potential mental retardation-causing missense variants.

Disease-causing missense variants generally alter amino-acid residues that are more highly conserved during evolution than polymorphisms. Thus, we ranked the 983 missense variants according to a score that reflects the conservation of each amino acid (see Methods). Scrutiny of the top ranking variants from this analysis highlighted *CASK*. Only two missense variants in *CASK* were identified in the primary sequencing screen, and these are positioned second and third in the ranking (Fig. 1c and Supplementary Table 5 online). *In silico* and RT-PCR analyses indicate that one of these, 2129A>G (D710G), introduces a splice site that removes 27 bp of the coding sequence and thus nine amino acids of the *CASK* protein. Two further missense variants in *CASK* were found

in a screen of 150 additional families with XLMR, both of which are at highly conserved amino acids and would score second and sixth in the ranking of missense variants. We did not find any missense variants in the complete coding region of *CASK* in 390 control X chromosomes. Mental retardation was mild to moderate in the four families with missense variants. In two, it was accompanied by nystagmus, a highly unusual accessory feature of XLMR, in multiple affected individuals. Three of the four variants segregate completely with mental retardation (Fig. 1c). The fourth variant, in family 74, is present in the three individuals with both mental retardation and nystagmus, but is absent from an individual with mental retardation without nystagmus (III-4), who may be a phenocopy. While this manuscript was under review, heterozygous inactivating mutations of *CASK* were reported to cause severe cerebral malformation in females<sup>22</sup> and, in a male, a hemizygous truncation caused early neonatal lethality. These results are consistent with the discovery here of multiple different missense variants, which are likely to be less deleterious than truncating variants, in viable males with XLMR. *CASK* encodes a calcium/calmodulin-dependent serine protein kinase that is a member of the membrane-associated guanylate kinase (MAGUK) family and is located at the postsynaptic membrane of central nervous synapses<sup>23</sup>.

As a further strategy to identify missense variants causing mental retardation, we investigated the number of variants in each gene. Genes that show more amino acid variation in a human population than expected from their rate of evolution are identifiable by the McDonald-Kreitman test<sup>24</sup>. Application of this test to a random population sample identifies positively selected genes. Application to X-chromosome genes in a sample ascertained for XLMR, however, would be expected to identify both positively selected genes and those with excess missense variants that cause mental retardation. We restricted application of the McDonald-Kreitman test to nonrecurrent variants, as recurrent variants are less likely to be implicated in mental retardation. The results highlighted *ZFX* ( $P = 0.0014$ ) and *G6PD* ( $P = 0.008$ ), both of which have previously been identified as strong candidates for recent positive selection<sup>25,26</sup>. It also highlighted, however, four known genes involved in XLMR at similar levels of significance: *HUWE1* ( $P = 0.007$ ), *OPHN1* ( $P = 0.001$ ), *MED12* ( $P = 0.004$ ) and *PGK1* ( $P = 0.002$ ). As these genes do not show evidence of recent positive selection<sup>25</sup>, their excess variation may be due to mental retardation-causing missense variants. By contrast, zero genes known to cause diseases other than mental retardation (excluding *G6PD*) were highlighted. Of genes not yet implicated in a monogenic disease, only one was highlighted: *SMARCA1* ( $P = 0.009$ ). These results suggest that missense variants in known and possibly additional XLMR-associated genes account for the disease in a further subset of families.

We observed 428 recurrent and 328 nonrecurrent synonymous variants in the X-specific part of the X chromosome. Although most synonymous variants are biologically silent, a small subset may exert cryptic biological effects through alterations in transcript processing or splicing. To search for additional cryptic splice variants, we applied the program NNsplice<sup>27</sup> to all synonymous and missense base substitutions. Three synonymous and seven missense variants were predicted with a high score ( $>0.9$ ) to introduce a new splice site (Supplementary Table 6 online). One of these is the missense variant in *CASK* (described above) that causes an abnormality of splicing. Of the remainder, five were recurrent and four were nonrecurrent variants. As all the likely mental retardation-causing variants thus far discovered in this study are nonrecurrent, these results suggest that many of the variants predicted to alter splicing are not implicated in mental retardation.

**Table 4 Likely deleterious variants in *SYP* and *ZNF711***

Gene	Family number	lod	Mutation class	Mutation
<i>SYP</i>	346	0.5	Truncating <sup>a</sup>	274_275insA, T92fs*45
<i>SYP</i>	MRFU-12	1.2	Truncating	177_178CA>GT, N59_K60>K*
<i>SYP</i>	MRFU-470	ND	Truncating	829_832delGACT, D277fs*59
<i>SYP</i>	MRFU-668	1.8	Nonsynonymous	649G>C, G217R
<i>ZNF711</i>	208	1.3	Truncating <sup>a</sup>	2157_2158delTG, 719fs*1
<i>ZNF711</i>	MRFU-582	2.1	Truncating	1573C>T, R525*

ND, not determined.  
\*Found in original screen.

### Characteristics of XLMR genes identified in this screen

Detailed clinical descriptions of the families with mental retardation-causing variants in six of the nine genes implicated in XLMR identified in this screen have been published (*AP1S2*, *BRWD3*, *CUL4B*, *SLC9A6*, *UPF3B*, *ZDHHC9*)<sup>16-21</sup> and therefore their features are only reviewed briefly here. Mental retardation ranged from mild to severe and most families were previously classified as having nonsyndromic mental retardation. Following the identification of the genes involved in mental retardation, however, phenotypic characteristics common to some affected males were identified: for example, epilepsy and ataxia (*SLC9A6*), macrocephaly (*BRWD3*), relative macrocephaly, hypogonadism, central obesity and tremor (*CUL4B*), Marfanoid habitus (*ZDHHC9*), elements of the FG and Lujan-Fryns syndromes (*UPF3B*), epilepsy (*SYP*) and nystagmus (*CASK*). The encoded proteins have roles in vesicle trafficking (*AP1S2*), chromatin structure (*BRWD3*), nonsense-mediated RNA decay (*UPF3B*), ubiquitination (*CUL4B*), post-translational modification by palmitoylation (*ZDHHC9*),  $Na^+/H^+$  exchange (*SLC9A6*), synaptic function (*SYP*) and synaptic signal transduction (*CASK*).

### Structural and evolutionary characteristics of XLMR genes

The known biological functions of proteins encoded by genes involved in XLMR are diverse. To explore further the attributes of these genes and their encoded proteins, we compared features of currently identified genes associated with XLMR (80 genes) to X-chromosome genes associated with disease phenotypes that do not include cognitive impairment (61 genes) and X-chromosome genes that have not yet been associated with a mendelian disease (608 genes).

Genes involved in XLMR are more constrained in their evolution between human and macaque as measured by the dN/dS ratio (nonsynonymous changes per nonsynonymous site/synonymous changes per synonymous site) of 0.17 than genes associated with a disease other than mental retardation (0.31,  $P = 0.009$ ) or genes not associated with a genetic disease (0.32,  $P = 0.003$ ). Similarly, their amino acid compositions are more highly conserved between human and mouse compared to the other two classes ( $P < 0.01$  for both comparisons). Previous studies have reported that genes implicated in nervous system diseases, genes associated with neurological functions and genes expressed in the brain undergo more purifying selection than genes in other functional classes<sup>28-30</sup>. The source of this evolutionary constraint is unclear.

Both genes involved in XLMR and those associated with other diseases are characterized by longer protein-coding sequences ( $P < 0.01$ ), larger genomic footprints ( $P < 0.02$ ) and greater numbers of exons ( $P < 0.01$ ) than X-chromosome genes not associated with a disease. In part, this may be attributable to ascertainment bias. Larger genes are likely to have a higher mutation rate, because they constitute a bigger target for mutational processes, and therefore may have a

greater prevalence of disease-causing mutations in the population. The higher the prevalence of disease-causing mutations, the more likely a gene is to have been identified as a disease gene. The comparatively large size of disease genes generally has previously been reported<sup>31</sup>. Significant differences between the three groups of genes were not found in levels of brain expression, tolerance of common sequence variation or the presence of paralogs in the human genome.

## DISCUSSION

This direct, systematic sequencing screen of the coding exons of the X chromosome has identified nine XLMR-associated genes, which can now be used in the provision of genetic diagnostics to families with mental retardation. Mental retardation-causing variants in these genes are predominantly in families previously classified as having nonsyndromic mental retardation, emphasizing the utility of a systematic sequencing strategy in resolving phenotypically similar sets of disease cases that are heterogeneous with respect to their underlying genetic causation.

The study highlights, however, some analytical challenges of large-scale, systematic sequencing screens to detect rare disease-causing variants. The extent of genetic heterogeneity can be substantial. Inactivating variants in ~10% of genes on the X chromosome cause XLMR, of which approximately one-third are nonsyndromic. Moreover, individual genes may account for a very small fraction of cases. Mental retardation-causing mutations in *SYP* and *ZNF711* each accounted for only ~0.3% cases, even in a set highly enriched by selection of families compatible with X linkage. Furthermore, disease-causing variants in many XLMR-associated genes constitute only a subset of the rare, nonsynonymous variants that are present. Thus, both *SYP* and *ZNF711* would have been very difficult to detect if most of their disease-causing variants had not been truncating and highly penetrant. Indeed, that we detected them at all in the primary screen of 208 XLMR cases suggests that there are several more XLMR genes that contribute as infrequently.

Identification of protein-truncating variants has been a mainstay of mendelian disease gene discovery in the past, and has been similarly fruitful here. However, the evidence suggests that more than half of the 30 genes in which one or more truncating variants was found are not implicated in the causation of mental retardation. Therefore, a small number of truncating variants in a gene found through a genome-scale screen of a large number of disease cases requires careful evaluation and cannot be regarded as strong evidence of disease causation on its own.

Of the 19 genes with truncating variants in controls and/or in which the truncating variant does not segregate with mental retardation, eight (40%) have only a single exon, compared to 10% (73/718) of X-chromosome genes screened overall ( $P = 0.004$ ). The enrichment in single-exon structures suggests that some may be retrotransposed copies that are being progressively excluded from the human genome by acquisition of truncating variants<sup>32,33</sup>. For *UBE2NL* and *RPL9P7* the presence of a multiexon copy with very high sequence identity elsewhere in the genome provides evidence in favor of this hypothesis. However, other genes (*ARSF*, *BEX4*, *DRP2*, *MAP3K15*, *MAP7D3*, *SAGE1*, *SATL1*, *SSX6*, *SYTL5*, *USP9X*, *VSIG4* and *ITIH5L*) have multiple exons. In the case of *ARSF*, there may be functional redundancy through closely related arylsulfatase genes elsewhere in the genome. In principle, it is possible that the deleterious effects of some of these truncating variants may be averted by transcript processing. The results overall, however, indicate that >1% of the currently defined protein-coding gene set on the X chromosome are not required for normal existence and cognitive function in humans.

Although we have sequenced most of the protein-coding exons of the X chromosome, the abnormal genes responsible for disease in most of the XLMR-affected families examined have not been identified. Of the subjects with XLMR screened, the likely genetic basis of 53 (25%) has now been established by detection of truncating, missense, in-frame or copy number variants found in this screen or by others analyzing the same cases in parallel (**Supplementary Table 7** online). There are several plausible reasons for this. A proportion of X-chromosome genes were intractable to PCR primer design and, even when screened, coverage may not be complete. Moreover, a subset of disease-causing variants usually are in promoter regions, introns and other noncoding sequences, which we have not examined. Other classes of abnormality, for example copy number changes, inversions and other chromosomal rearrangements, are not detectable by a PCR-based resequencing approach. Indeed, some protein-coding genes may not yet have been annotated and it is conceivable that some XLMR-associated genes are not protein coding. It is also possible that mental retardation in a proportion of the families in the screen may not be due to an underlying abnormality on the X chromosome. In particular, some sibling pairs could be chance clusters of sporadic mental retardation cases or be due to autosomal recessive mutations.

It is also plausible that mental retardation in some of the families screened is due to missense variants. Several of the known mental retardation-associated genes initially identified through the presence of truncating changes have disease-causing missense variants reported in other families. Moreover, null alleles due to truncating variants of some X chromosome genes may be lethal in males, whereas missense changes may entail lesser developmental and functional consequences that manifest as mental retardation. However, identification of XLMR genes in which rare, exclusively missense variants are responsible for the disease is challenging. Only a small fraction of missense variants identified in a systematic screen are likely to cause mental retardation. Moreover, judging from the pattern of, mental retardation-causing truncating variants, we predict that they will be distributed over several different genes and that the number in each gene will be small. We adopted strategies based on the conservation of the altered amino acid and the number of missense variants in each gene, to highlight missense mental retardation-causing variants. Both of these were productive. However, the discriminative power of such approaches may be limited and the proportion of mental retardation-causing missense variants they have highlighted is unknown. Further study of the remaining missense variants identified here is now indicated. A critical test is whether there is a difference in the prevalence of a putative disease-causing missense variant, or group of variants, between disease cases and controls. However, the size of the sample sets required to investigate this may be daunting for studies of mental retardation and other complex disease phenotypes.

For many common human diseases most of the genetic basis of inherited susceptibility remains to be elucidated. Some may be encoded by common susceptibility alleles, which can be detected by association studies based on linkage disequilibrium maps. However, a significant proportion may be attributable to multiple rare variants, each of which accounts for a very small proportion of the familial risk of disease. In the relatively near future it will become possible to sequence the complete human genome, or biologically interesting components such as all protein coding exons, in large numbers of disease cases and controls to identify all variants, common and rare, disease-causing and innocuous. This systematic screen of the coding sequences of the X chromosome in XLMR illustrates some of the strengths of large-scale resequencing in disease gene identification, but also highlights its pitfalls and challenges.

## METHODS

**XLMR-affected families and controls.** XLMR-affected families from the UK, United States, Australia, Europe and South Africa were included if there were two or more cases of mental retardation in males, predominant sparing of carrier females and no evidence of male-to-male transmission of mental retardation. Subjects were examined by a clinical geneticist with expertise in the field and the severity of the disease was categorized using DSM-IV or ICD-10 classifications (profound mental retardation was classified as severe). Where formal IQ testing using either the Stanford Binet or Wechsler IQ scoring was not performed, functional assessments of the degree of mental retardation were used. The individual entered into the sequencing screen was an affected male in 203 of 208 cases. Five screened individuals were obligate carrier females, all of whom were unaffected by mental retardation. Control DNAs were either from lymphoblastoid cell lines derived from adults of European ancestry from the UK without mental retardation or from filtered white cells from blood donors. XLMR-affected families and controls provided informed consent for research and the studies were reviewed and approved by ethics committees and institutional review boards of each collaborating institution.

**Sequencing of X-chromosome coding exons.** We included the full complement of 829 known protein-coding genes on the X chromosome in the Vega database of manually curated genes in the design. A number of genes on the X chromosome are present in multiple, highly similar copies. Where it was impossible to place PCR amplimers such that only a single copy was amplified, the gene was omitted from the screen. DNA from a single affected individual in each family was PCR-amplified to produce products of approximately 500 bp which were sequenced in both directions on ABI 3730 DNA analysers as previously described<sup>34</sup>. Sequence variants were called automatically using in-house analysis software, autoCSA<sup>35</sup>, and also by alignment of base-called data to the X-chromosome reference sequence. We verified all identified mutations by manual inspection of the trace files. All potential truncating variants were further investigated by resequencing of the appropriate sample from a newly amplified PCR product. Because of the large number of missense and synonymous base substitutions it was not practical to confirm these similarly. Therefore, to assess the overall reproducibility of base substitutions, we resequenced 60 and showed that 56 (93%) were confirmed. All missense and synonymous substitutions were therefore included in the analyses.

**Statistical analyses.** To evaluate the significance of potential disease-causing variants, we assessed cosegregation with disease using a method previously described<sup>36</sup>. We calculated lod scores using a model assuming 100% penetrance of mental retardation-causing variants, variant prevalence of 0.1% and an mental retardation sporadic rate of 1%, using the Genehunter program. An estimate of the proportion of variants that were deleterious was derived by calculating a heterogeneity lod score over all families, assuming that a proportion ( $\alpha$ ) of variants were associated with the disease risk, and maximizing over  $\alpha$ .

To measure the evolutionary constraint on X-chromosomal genes for comparison between genes associated with XLMR, genes associated with diseases other than mental retardation, and genes not associated with disease, Ensembl macaque orthologs were identified and, where necessary, realigned using ClustalW2. We used DnaSP<sup>37</sup> to determine the total and aligned length of each alignment, the number of synonymous positions and differences, and the number of nonsynonymous positions and differences. Genes for which a macaque ortholog was not found, or which showed an aligned length less than 90% of the total length, were omitted. From the remaining genes (72 genes associated with XLMR, 48 genes associated with other diseases and 393 genes not associated with disease, respectively), the ratio dN/dS (number of nonsynonymous changes per nonsynonymous site/number of synonymous changes per synonymous site) was calculated both for each individual gene and for all genes within each of the three categories concatenated (**Supplementary Table 8** online). The significance of differences between the categories was evaluated using a Mann-Whitney test. To investigate whether any genes showed more missense variants within humans than expected from their evolutionary history, we used a McDonald-Kreitman test<sup>24</sup>.

To determine average conservation of X chromosome genes, we obtained Ensembl orthologs and MAFFT alignments for as many genes as possible. The conservation at every amino acid was determined using Scorecons, and the average protein conservation for each gene computed for each species. The value cited refers to a human-mouse comparison, but similar results were obtained for other species. Sequence lengths and exon information was obtained from Ensembl. Paralog comparison was based on Ensembl comparison one-to-one paralogs. Brain expression of X genes was compared using data from the HUGIndex database<sup>38</sup> and from previously published work<sup>39</sup>.

To determine the deleteriousness of missense variants, we identified orthologs for each gene containing a missense mutation using the Ensembl comparison database (v. 47), requiring a one-to-one relationship and minimum 80% amino acid identity to ensure good alignments. The protein and its orthologs were aligned using MAFFT<sup>40</sup> version 6.240, 'linsi' method, with 1,000 iterations. The alignment was scored at the missense position for conservation using Scorecons<sup>41</sup>, with scoring method 'valdar01', matrix 'modified PET91', and matrix transformation 'karkinlike'. The conservation score for an amino acid affected by a missense change was calculated as the product of the Scorecons score, representing how identical the orthologs were at the given position, and the number of orthologs for the gene, giving an overall conservation score that is higher for amino acids that are highly conserved deeply in evolution. The efficacy of the deleteriousness score is illustrated by a comparison of nonrecurrent and recurrent missense variants (**Supplementary Fig. 2** online) Additionally, a score for amino acid change was determined using a Grantham matrix<sup>42</sup>.

**URLS.** Mental retardation database, <http://www.LOVD.nl/MR>; LOVD gene ID, <http://www.LOVD.nl/geneID>.

*Note: Supplementary information is available on the Nature Genetics website.*

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## AUTHOR CONTRIBUTIONS

P.S.T. and R. Smith supervised the X-chromosome sequencing, collation and analysis of data. A.W., S.E., C.H., S.O., C.L., E.D., A.M., P.S., M. Blow, C.G., K.G., J.A., S.B., G.B., J. Cole, R.D., D.J., M.M., T.M., R.T., K.T., J.V., S. West, S. Widaa, P.W., J.T., A.B., A.J., M.J., D.R., R. Shepherd, R.W., A.P.M.d.B., F.E.A., A.K.S., J. Cox, Y.L., U.M., J.M., J.P., C. Shoubridge, M.C., A.G., E.H., S.R., M.S., L.V., T.F. and C. Skinner. performed sequencing, additional molecular analyses and contributed to the data analysis. E.P., D.T., C.T.-S., Y.X., D.F.E. contributed to the analytic design and performed statistical investigations. M.I.T., F.M., G.C., R.G., A.P.M.d.B., H.v.B., H.v.E., J. Chelly, M.R., H.H.R., S.M., J.L.T., M.P., A.H., M.F., R.E.S., G.T., C.E.S., J.G. and F.L.R. contributed samples and clinical data from affected individuals. R.E.S., M. Bobrow, G.T., C.E.S., J.G., F.L.R., P.A.F. and M.R.S. conceived, designed and directed the study and wrote the manuscript. All authors contributed to discussion of the results and manuscript preparation. The

genetic variants for each individual screened may be obtained from F.L.R. under an agreement not to misuse or further distribute.

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